

(Print Name of Broker/Dealer Firm)

YOUR SECURITIES DEALER HAS ENGAGED WEBBUSH MORGAN SECURITIES ("WMS") AS ITS CLEARING AGENT FOR CARRYING THIS ACCOUNT ON YOUR BEHALF PURSUANT TO A CORRESPONDENT BROKER AGREEMENT BETWEEN WMS AND YOUR SECURITIES DEALER. ACCORDINGLY, WMS WILL PROVIDE CASHIERING SERVICES; MONITOR COMPLIANCE OF CREDIT ACCORDING TO APPLICABLE RULES AND REGULATIONS AND WMS POLICIES; PREPARE OR PROVIDE INFORMATION FOR TRANSACTION CONFIRMATIONS; PROVIDE PERIODIC ACCOUNT STATEMENTS; AND PROVIDE FOR THE DISSEMINATION OF PROXY, TENDER OFFER AND OTHER SIMILAR SHAREHOLDER'S MATERIALS. IN ADDITION, WMS MAY PROVIDE, UPON SPECIFIC INSTRUCTIONS FROM YOUR SECURITIES DEALER, EXECUTION OF ORDERS AND/OR CERTIFICATE CLEARANCE. WMS WILL NOT BE INVOLVED WITH OR HAVE RESPONSIBILITY FOR DECISIONS REGARDING TRANSACTIONS IN THIS ACCOUNT. YOUR SECURITIES DEALER IS RESPONSIBLE FOR ALL ACTIVITIES CONCERNING THIS ACCOUNT. INQUIRIES, COMPLAINTS, INSTRUCTIONS AND NOTICES OF ADDRESS CHANGE SHOULD BE DIRECTED PROMPTLY TO THE ATTENTION OF YOUR SECURITIES DEALER.

Type of Transfer			
1. (Check one) <input type="checkbox"/> Total Transfer  <input type="checkbox"/> Partial Transfer NON-ACATS (List Assets)	2. (Check one) <input type="checkbox"/> Cash/Margin <input type="checkbox"/> IRA <input type="checkbox"/> Roth IRA <input type="checkbox"/> Qualified Plan	3. (Check one only) Complete Sections (WMS Completes) <input type="checkbox"/> Brokerage Transfer.....A, B, C, F <input type="checkbox"/> Bank/Insurance Transfer...A,B,C,D, F <input type="checkbox"/> Mutual Fund Transfer.....A, B, E, F	<input type="checkbox"/> ACAT <input type="checkbox"/> Non-ACAT Full <input type="checkbox"/> Partial <input type="checkbox"/> Mutual Fund <input type="checkbox"/> Broker Change <input type="checkbox"/> In-House
			Wedbush Morgan Securities Clearing Number  <b>0103</b>

**A. Information About Your Account Maintained by Wedbush Morgan Securities (Re: Receiving Firm)**

Account Title	
Account Number	SSN / TIN

**B. Information About the Account You Are Transferring (Re: Delivering Firm)**

Name of Firm	Account Number	Clearing Number
Firm Address	Account Title (Name and plan as it appears on statement)	

**C. Brokerage Firm Transfer**

I wish to transfer my entire account (Skip to Section F)

I wish to transfer only the following assets from my account (Indicate assets below)  Check here if assets come from a Qualified Plan

Quantity or Cash	Description of Asset (Attach additional sheet, if necessary)	Action
		<input type="checkbox"/> Liquidate & Transfer Proceeds <input type="checkbox"/> Transfer In-Kind
		<input type="checkbox"/> Liquidate & Transfer Proceeds <input type="checkbox"/> Transfer In-Kind
		<input type="checkbox"/> Liquidate & Transfer Proceeds <input type="checkbox"/> Transfer In-Kind

**D. Bank; Savings & Loan, Insurance Or Credit Union Transfer**

<b>Cash Transfer</b> <input type="checkbox"/> All cash in account <input type="checkbox"/> Only \$ _____	<b>CD/Annuity Transfer</b> <input type="checkbox"/> Transfer/Registration (annuities only) <input type="checkbox"/> Liquidate IMMEDIATELY I acknowledge the penalty I will incur for early withdrawal. <input type="checkbox"/> Liquidate at MATURITY Maturity Date ____ / ____ / ____ (Please submit 2-3 weeks before maturity date)
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**E. Mutual Fund Transfer (Please use a separate original form for each mutual fund company)**

Name of Fund		<input type="checkbox"/> Liquidate and Transfer Proceeds <input type="checkbox"/> Transfer/Registration
<b>Dividend/Capital Gains Option</b> <input type="checkbox"/> All Reinvest <input type="checkbox"/> All Cash <input type="checkbox"/> Dividend-Cash, Capital Gains Reinvest <input type="checkbox"/> Dividend-Reinvest, Capital Gains-Cash <input type="checkbox"/> Deposit to New Plan # _____	<b>Print name of Correspondent Broker/Dealer</b>  (Correspondent Broker/Dealer for which WMS acts as clearing broker)  IE Name _____ IE # _____	<b>IRA/Qualified Registration</b> Wedbush Morgan Securities  FBO _____ (Customer Name)  Account # _____ (Wedbush Account #)  <b>Tax ID: 95-4323351</b>

**F. Please Sign This Section (Customer)**

TO DELIVERING FIRM: IF THIS ACCOUNT IS A QUALIFIED RETIREMENT PLAN ACCOUNT, I HAVE AMENDED THE APPLICABLE PLAN SO IT NAMES WMS AS SUCCESSOR CUSTODIAN. UNLESS OTHERWISE INDICATED IN THE ABOVE, PLEASE TRANSFER ALL ASSETS IN MY ACCOUNT TO WMS. I UNDERSTAND THAT TO THE EXTENT ANY ASSETS IN MY ACCOUNT ARE NOT READILY TRANSFERABLE (WITH OR WITHOUT PENALTIES), SUCH ASSETS MAY TAKE A LONGER PERIOD OF TIME TO TRANSFER THAN THE STANDARD TIME FRAMES REQUIRED BY VARIOUS REGULATORY BODIES. WITH RESPECT TO NONTRANSFERABLE ASSETS, I AUTHORIZE YOU TO LIQUIDATE ANY NONTRANSFERABLE ASSETS THAT ARE PART OF MY ACCOUNT AND TRANSFER THE RESULTING CREDIT BALANCE TO WMS. I AUTHORIZE YOU TO DEDUCT ANY OUTSTANDING FEES DUE YOU FROM THE CREDIT BALANCE IN MY ACCOUNT. IF MY ACCOUNT DOES NOT CONTAIN A CREDIT BALANCE, OR IF THE CREDIT BALANCE IN THE ACCOUNT IS INSUFFICIENT TO SATISFY ANY OUTSTANDING FEES DUE YOU, I AUTHORIZE YOU TO LIQUIDATE THE ASSETS IN MY ACCOUNT TO THE EXTENT NECESSARY TO SATISFY THAT OBLIGATION. IF CERTIFICATES OR OTHER INVESTMENTS IN MY ACCOUNT ARE IN YOUR PHYSICAL POSSESSION, I INSTRUCT YOU TO TRANSFER THEM IN GOOD DELIVERABLE FORM, INCLUDING AFFIXING ANY NECESSARY TAX WAIVERS, TO ENABLE WMS TO REGISTER THEM IN ITS NAME FOR THE PURPOSE OF SALE, WHEN AND AS DIRECTED BY ME. I UNDERSTAND THAT UPON RECEIVING A COPY OF THIS TRANSFER FORM, YOU WILL CANCEL ALL OPEN ORDERS FOR MY ACCOUNT ON YOUR BOOKS. I AFFIRM THAT I HAVE DESTROYED OR RETURNED TO YOU CREDIT/DEBIT CARDS AND/OR UNUSED CHECKS ISSUED TO ME IN CONNECTION WITH THIS ACCOUNT. I UNDERSTAND THAT YOU WILL CONTACT ME WITH RESPECT TO THE DISPOSITION OF ANY ASSET IN THIS ACCOUNT WHICH IS NONTRANSFERABLE.

**IMPORTANT: Please be sure to attach a complete copy of the latest statement of the account you are transferring to WMS**

<b>X Customer Signature</b>	Date (Must be completed)	(For WMS Only – Medallion Signature Stamp)
<b>X Joint Account Holder Signature</b>	Date (Must be completed)	

**Delivering Firms: Please refer to the reverse side of this form for the delivery instructions**

**Wedbush Morgan Securities Letter of Acceptance**

Wedbush Morgan Securities was approved as a non-bank custodian for IRA and Keogh assets on December 28, 1984. If this transfer involves a retirement account, we are prepared to accept this transfer of IRA/Keogh assets which is to be executed from fiduciary to fiduciary and will not place the participant in actual receipt of all or any part of their IRA/Keogh assets.

Successor Custodian Authorized Signature \_\_\_\_\_ Month / Day / Year

# WEDBUSH MORGAN SECURITIES

## Transfer of Account Delivery Instructions

### Receiving Firm Information

Name and Address: Wedbush Morgan Securities  
1000 Wilshire Blvd.  
Los Angeles, CA 90017

1) Tax ID Number 95-4323351  
(Wedbush Custodial Retirement Account)

2) Tax ID Number 95-2495390  
(Wedbush Omnibus Account)

### Delivery Instructions

DTC Eligible Securities: Deliver to DTC # 0103  
FBO (Customer Name)  
Acct # Wedbush Acct #)

Physical Delivery of Securities By Mail: Home Office: Wedbush Morgan Securities  
Attn: Cashiering  
P.O. Box 30014  
Los Angeles, CA 90030

New York Office: Wedbush Morgan Securities  
61 Broadway, 3<sup>rd</sup> Floor  
New York, NY 10006

Physical Delivery of Securities By Courier: Home Office: Wedbush Morgan Securities  
Attn: Cashiering  
1000 Wilshire Blvd., 8<sup>th</sup> Floor  
Los Angeles, CA 90017

New York Office: Wedbush Morgan Securities  
61 Broadway, 3<sup>rd</sup> Floor  
New York, NY 10006

Mutual Fund & Limited Partnerships Re-Registration Instructions: Wedbush Morgan Securities  
Custodian  
FBO (Customer Name)  
Acct # (Wedbush Acct #)  
P.O. Box 30014  
Los Angeles, CA 90030-0014

1) Tax ID Number 95-4323351  
(Wedbush Custodial Retirement Account)

2) Tax ID Number 95-2495390  
(Wedbush Omnibus Account)

U.S. Treasury Obligations: Bank of NYC / Wedbush  
ABA # 0210-0001-8  
FBO (Customer Name)  
Acct # (Wedbush Acct #)

GNMA (PTC) Instructions: WEDWMC  
FBO (Customer Name)  
Acct # (Wedbush Acct #)

Send Checks: Wedbush Morgan Securities  
Attn: Cash Management  
P.O. Box 30014  
Los Angeles, CA 90030-0014

FBO (Customer Name)  
Acct # (Wedbush Acct #)

Fed Wired Funds: Bank of New York  
New York, NY

ABA # 021-000-018  
Acct # 8540900001  
Further Credit To:  
Wedbush Morgan Securities  
FBO (Customer Name)  
Acct # (Wedbush Acct #)

Euroclear: Acct # 99766

**ALL DELIVERIES MUST INCLUDE CLIENT NAME  
AND  
WEDBUSH MORGAN ACCOUNT NUMBER**

**Account Transfers Department (213) 688-6760**